Elaine F. Marshall, North Carolina Secretary of State

2026 LOBBYIST MONTHLY EXPENSE REPORT

MAILING ADDRESS: Lobbying Compliance Division
Department of the Secretary of State
PO Box 29622
Raleigh, NC 27626-0622

WEB: https://www.sosnc.gov/

STREET ADDRESS: 2 South Salisbury Street
Raleigh, NC 27601-2903

☐ **AMENDED REPORT** (Check if amending previously filed report.) **Original Tracking #** _____

PERIOD: Monthly _____ **(PLEASE ENTER MONTH)**

Name of Lobbyist as Registered: _____

Complete Name of Principal As Registered: _____

You **must** mark one of these boxes or your form will be rejected. If you choose to deliver the paper original document to the Division, and fail to do so, the report will not be deemed filed until the date we receive it, which may make your report late. 18 NCAC 12 .0308.

☐ I choose to maintain the paper original inked and signed lobbying expense report in my own records for three years as required by Administrative Rule 18 NCAC 12 .1301; OR

☐ I choose to deliver the paper original inked and signed lobbying expense report to the Lobbying Compliance Division of the Secretary of State within seven days as required by Administrative Rule 18 NCAC 12 .0214.

PART I: REPORTABLE EXPENDITURES

NOTE: If 15 or less designated individuals ("DIs") are benefited, list by name; if more than 15, list approximate number benefited and basis for their selection; i.e., the name of the legislative body, committee or caucus or the name of the public servant group whose membership list is a matter of public record under NCGS § 132-1, or some other description that clearly distinguishes the group's purpose or composition. If DIs' immediate family members are benefited, list separately. N.C. Gen. Stat. § 120C-401(c).

***EXPENSE CODES**

TL: Transportation and Lodging
FB: Food and Beverages
GI: Gifts
EN: Entertainment
ME: Meetings and Events
OT: Other

SECTION A. LOBBYIST MADE AND PRINCIPAL REIMBURSED:

Date	Description of Expenditure, Payee/Beneficiary and Address	Designated Individual(s) or Immediate Family Member(s) Benefited	Exp. Code (see above)	Amount
				$
				$
				$
				$
				$
				$

This Period's Subtotal: (Must enter total or "0") $_____

SECTION B. LOBBYIST MADE AND PRINCIPAL DID NOT REIMBURSE:

Date	Description of Expenditure, Payee/Beneficiary and Address	Designated Individual(s) or Immediate Family Member(s) Benefited	Exp. Code (see above)	Amount
				$
				$
				$
				$
				$
				$

This Period's Subtotal: (Must enter total or "0") $_____

PART II: CONTRACTUAL ARRANGEMENTS, PROMISES, OBLIGATIONS AND/OR DIRECT BUSINESS RELATIONSHIPS IN EFFECT DURING PREVIOUS 12 MONTHS

Effective Date (s)	Description of Contractual Arrangement, Promise, Obligation or Direct Business Relationship	Applicable Designated Individual ("D") or DI Immediate Family Member	Amount or Other Consideration (Value)
			$
			$
			$
			$
			$
			$

This Period's Subtotal: (Must enter total or "0") $_____

PART III. EVENT REPORTING
(USE THIS PAGE ONLY IF THE LOBBYIST HAS INCURRED EVENT REPORTABLE EXPENDITURES OR THE PRINCIPAL REIMBURSED THE LOBBYIST FOR AN EVENT.)

Please comply with the State Ethics Commission Rule, 30 NCAC 10C .0302 when completing Sections A or B of Event Reporting. This rule became effective on January 1, 2014.

State Ethics Commission Rule 30 NCAC 10C .0302 REPORTABLE EXPENDITURES MADE FOR LOBBYING

(a) For purposes of G.S. 120C-402(b)(1) and 120C-403(b)(1), when reporting expenditures for events held for lobbying, the entire cost of the event must be reported, not just the "gift" given or provided to the designated individual(s) attending the event. Examples of non-gift reportable expenditures made for lobbying are expenses and charges incurred for items and/or services provided in connection with the lobbying event, such as planning and organizing services, printing services and supplies, facility rental and set-up charges, food supplies and services, name badges, flowers, and other decorations.

(b) Reportable expenditures made for lobbying events shall be reported on the expense report filed with the Secretary of State for the month the lobbying event is held.

SECTION A. LOBBYIST MADE DIRECTLY

Event Date	Name of Event & Description of Expenditure Payee/Beneficiary and Address	Designated Individual or Immediate Family or Third Party Beneficiary	*Expense Code	Total Cost of the Event Paid By Lobbyist
				$
				$
				$
				$
				$

This Period's Subtotal: (Must enter total or "0") $_____

SECTION B. LOBBYIST MADE AND PRINCIPAL REIMBURSED

Name of Principal That Reimbursed Lobbyist for Event Costs: _____

Event Date	Name of Event & Description of Expenditure Payee/Beneficiary and Address	Designated Individual or Immediate Family or Third Party Beneficiary	*Expense Code	Total Cost of the Event Paid By Principal
				$
				$
				$
				$
				$

This Period's Subtotal: (Must enter total or "0") $_____

PART IV: CERTIFICATION

IMPORTANT INSTRUCTIONS FOR LOBBYIST

LOBBYIST MUST SIGN AND DATE HERE TO CERTIFY THE REPORT. **WARNING**: INCOMPLETE CERTIFICATION MAY RESULT IN REJECTION OF REPORT.

The undersigned, hereby certifies that all information contained herein (including any attachments hereto) is true, complete and correct to the best of my knowledge and belief.

Signature of Lobbyist **Date**

Note: Monthly expense reports are not required to be notarized.

PART V: REPORT PREPARER'S IDENTITY/SIGNATURE

Printed Full Name of Report Preparer:

Signature of Report Preparer:

You only have to sign as the report preparer if: (1) you are not the lobbyist required to file the report, **and** (2) you exercised discretion and independent judgment in filling it out.
Lobbyist Signature here is unnecessary and **Will Not** constitute certification of the report under oath.

FOR INFORMATIONAL USE ONLY; DISCARD BEFORE FILING.

- Expense reports are due quarterly, regardless of whether reportable expenditures are made, no later than 15 business days after the end of the calendar quarter.
- Do not use a zero expense report form for a monthly report or for a quarterly report that requires incorporation of a prior monthly report. Incomplete reports may be rejected.
    - In addition, any reportable expenditures incurred while the General Assembly is in session with respect to lobbying legislators and legislative employees is reportable monthly no later than 10 business days after the end of the month.
    - The information reported on any monthly report should be incorporated by reference on the long quarterly report form in the space provided.
- NCGS § 120C-401(f) states: Each report required by this Article shall be in the form prescribed by the Secretary of State and filed electronically.
- Please choose which kind of record keeping method you are utilizing for this report. IF THE LOBBYING COMPLIANCE DIVISION IS TO MAINTAIN THE ORIGINAL REPORT, please submit completed (notarized) reports that have been electronically filed at but do not have an electronic notarization to the Department by one of the following methods:
    - By United States mail addressed to the Lobbying Compliance Division, Secretary of State, Post Office Box 29622, Raleigh, North Carolina 27626-0622, postmarked within seven calendar days of the electronic filing,
    - By hand-delivery in person or by a designated delivery service authorized pursuant to NCGS §1A-1, Rule 4, to the Lobbying Compliance Division, Department of the Secretary of State, 2 South Salisbury Street, First Floor, Raleigh, NC 27601-2903, by 5:00 PM within seven calendar days of the electronic filing, in the case of hand-delivery in person, or postmarked by the authorized delivery service in the case of delivery within seven calendar days of the electronic filing;
- If you submit completed reports electronically using an electronic notarization, the reports must be transmitted to the Department by 11:59 PM on the day of the filing deadline.
- Any document attached to the filing other than the Department's form, must be compatible with, or convertible to Microsoft Word.

EVENT REPORTING INFORMATION

"DATE" means you must provide the date of the event or meeting if different from the date of the reportable expenditure; reportable expenditures made for lobbying events shall be reported for the month the lobbying event is held.

"DESCRIPTION OF EXPENDITURE" means you must provide:

1) An identification of what was given;
2) An identification, name, or title of the event or meeting at which the item, service, monetary contribution, etc. was given including the payee/beneficiary name and address; and
3) An identification of the third party recipient of the item, service or monetary contribution, etc. made at the request of or on behalf of a designated individual or a member of his or her immediate family.

“DESIGNATED INDIVIDUAL(S) OR IMMEDIATE FAMILY MEMBER(S) CONNECTED WITH EXPENDITURE” means you must provide the name(s) of the designated individual or member of the designated individual’s immediate family who:

1) Received or benefited from the reportable expenditure, if the designated individual or immediate family member was the ultimate recipient of the expenditure, or;

2) Requested the reportable expenditure or on whose behalf the reportable expenditure was made, if a third party other than the designated individual or immediate family member was the ultimate recipient of the expenditure. If 15 or less designated individuals (“DIs”) are benefited, list by name; if more than 15, list approximate number benefited and basis for their selection; i.e., the name of the legislative body, committee or caucus or the name of the public servant group whose membership list is a matter of public record under NCGS §132-1, or some other description that clearly distinguishes the group’s purpose or composition. If DIs’ immediate family members are benefited, state separately.

“AMOUNT” means when reporting expenditures for events held for lobbying, the entire cost of the event must be reported, not just the “gift” given or provided to the designated individual(s) attending the event.

Examples of non-gift reportable expenditures made for lobbying are expenses and charges incurred for items and/or services provided in connection with a lobbying event, such as planning and organizing services, printing services and supplies, facility rental and set-up charges, food supplies and services, name badges, flowers, and other decorations.