

**State of North Carolina**

**Department of the Secretary of State**

**Business Opportunity Act Exemption By Definition**

Pursuant to G.S. 66-94(4) of the General Statutes of North Carolina, the undersigned does hereby notify the NC Department of the Secretary of State of an exemption to the Business Opportunity Sales Act by definition of a business opportunity.

The seller represents that the business opportunity being offered includes the sale of a marketing program made in conjunction with the licensing of a federally registered trademark or a federally registered service mark, and/or the purchaser pays less than two hundred dollars (\$200.00).

1. The name of the seller is: \_\_\_\_\_
2. The seller is doing business as: \_\_\_\_\_ an individual; \_\_\_\_\_ a partnership; \_\_\_\_\_ a corporation; or \_\_\_\_\_ an LLC.
3. The principal business address of the seller is:  
Number and Street: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_, Zip Code: \_\_\_\_\_ County: \_\_\_\_\_
4. Attached you will find a copy of the federal trademark or federal service mark registration.

This is the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Entity Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Type and Print Name and Title

**Notes:**

1. File one copy with the NC Department of the Secretary of State.
2. Must be signed by either the seller or one authorized to sign on behalf of the seller.
3. Deliver to the below address.