

North Carolina
Dep

North Carolina Department of the Secretary of State
Securities Division PO Box 2622 Raleigh, NC 27626-0622
(91) 733- 3924 (800) 688-4507
secdiv@sosnc.com www.sosnc.com

North Carolina Department of the Secretary of State
Securities Division PO Box 29622 Raleigh, NC 27626-0622
(919) 733-

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However, limited or “unsolicited” trading can occur in a stock that has been subject to a trading suspension after the

The following cases are ones in which the Securities Division has had some involvement, either as the lead investigative agency or in a supporting role.

