

Elaine F. Marshall, Secretary of State

**Liaison Registration and State Agency Authorization Statement 2019**

**NO REGISTRATION FEE REQUIRED**

**Liaison Personnel Information**

Complete Name of Liaison: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Complete Name of State Agency, Board or Commission: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Physical Business Address of Liaison \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mailing Address of Liaison: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone No. of Liaison: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Fax No.:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail Address of Liaison: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**State Agency Information**

Complete Name of State Agency**\***:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Physical Address of State Agency: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Complete Name of Contact Person: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mailing Address of Contact Person: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone No. of Contact Person: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Fax No.:\_\_\_\_\_\_\_\_\_\_\_\_\_

### E-Mail Address of Contact Person: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**\* “State Agency” includes all agencies and constitutional officers of the State, including all boards, departments, divisions, constituent institutions of The University of North Carolina, and other units of government in the executive branch, on whose behalf the liaison influences or attempts to influence legislative and/or executive action. Both Liaison and State Agency Contact Person must sign certification.**

**General subjects on which the Liaison intends to lobby**

Enter codes from the subject identification table below. List all applicable categories. A statement of ALL will not be accepted.

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| --- | --- | --- | --- |
| **Code** | **Subject** | **Code** | **Subject** |
| 1 | Agriculture, horticulture, farming, and livestock | 17 | Health service, medicine, drugs and controlled substances, health insurance, hospitals |
| 2 | Amusements, games, athletics and sports | 18 | Higher education |
| 3 | Banking, finance, credit and investments | 19 | Housing, construction, building codes |
| 4 | Children, minors, youth, seniors | 20 | Insurance (excluding health insurance) |
| 5 | Church and religion | 21 | Labor, salaries and wages, collective bargaining |
| 6 | Communications, newspaper, television, radio, computers and information technology | 22 | Law enforcement, courts, judges, crimes, prisons |
| 7 | Consumer affairs | 23 | Licenses, permits |
| 8 | Ecology, environment, pollution, conservation, zoning, land and water use | 24 | Liquor, alcoholic beverages |
| 9 | Education | 25 | Manufacturing, distribution, services |
| 10 | Elections, campaigns, voting, political parties | 26 | Natural resources, forest and forest products, fisheries, mining and mining products |
| 11 | Equal rights, civil rights, minority affairs | 27 | Public lands, parks, recreation |
| 12 | Government, taxation, financing, revenue, budget, appropriations, bids, fees, funds | 28 | Social insurance, unemployment insurance, public assistance, workers compensation |
| 13 | Government, county | 29 | Transportation, highways, streets and roads |
| 14 | Government, federal | 30 | Utilities, power, cable television, gas |
| 15 | Government, municipal | 31\* | Other: (please specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| 16 | Government, state |  |  |

**\*Registration will be rejected if category 31 “Other” is selected and no subject is specified.**

**Report Preparer’s Identity/Signature (Rule 18 NCAC 12 .0209)**

Print name of Preparer (if other than liasion): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Preparer: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Liaison and State Agency Contact Person Certification**

**Liaison Certification**

I hereby certify that all information disclosed in this “Liaison Registration and State Agency Authorization Statement 2019” is true, complete and correct in accordance with Article 2 of Chapter 120C. By signing this certification, I understand I have an affirmative duty to comply with the Lobbying Law and the rules, including filing reports, as the law requires.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Signature of Liaison Date**

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**State Agency Authorization Certification**

I hereby certify that all information disclosed in this “Liaison Registration and State Agency Authorization Statement 2019” is true, complete and correct in accordance with Article 2 of Chapter 120C. By signing this certification, I understand I have an affirmative duty to comply with the Lobbying Law and the rules, including filing reports as the law requires.

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**Signature of State Agency Contact** P**erson** D**ate**

**Instructions**

1. Registration triggers reporting obligations. **Quarterly reports must be filed regardless of whether you have any reportable expenditures.**
2. Registration will expire on December 31 of the current year unless written notification of termination or resignation is received prior to that date. The liaison and state agency shall file a new registration statement for each year. No registration fee is required.

**Note: Registration must take place within one day of lobbying**.

1. A liaison is any State employee, counsel employed under G.S. §147-17, or officer whose principal duties, in practice or as set forth in that individual's job description, include lobbying legislators or legislative employees. G.S. §120C-100(a)(8).
2. On October 1, 2013, legislatively mandated electronic filing became effective for lobbying registrations, reports, and their respective amendments. For more background on this change see: Section 27.1 of Session Law 2013-360, Senate Bill # 402.
3. FILING SUBMISSION: **How to e-file your Liaison Registration:** E-file by using the Lobbying Compliance Division Portal on our website <https://www.sosnc.gov/>. Please send the hard copy to the Department within seven days or your registration will be void.