



Elaine F. Marshall, Secretary of State
2021 Principal Expense Report Form – Zero Expense Short Form

Amended Report: *(Check if amending previously filed report.)* ☐ **Original Report Tracking #** _____

PERIOD: ☐ **Quarter Ended March 31, 2021**
☐ **Quarter Ended June 30, 2021**

☐ **Quarter Ended September 30, 2021**

Complete Name of Principal: _____

If the registered lobbyist principal has directed any lobbyist listed below to lobby on behalf of an unregistered associated entity, the principal must complete and attach Schedule PR-QAE to this quarterly report. The absence of such attachment constitutes the authorized officer's affirmative statement under oath that there are no such unreported associated entities pursuant to G.S. §120C-403(b)(6) to disclose for the reporting period.

Name(s) of All Lobbyist(s) as Registered: _____

Include all lobbyists registered during any portion of this reporting period, including interim resignations/terminations.

- ☐ I choose to maintain the paper original inked, signed, and notarized lobbying expense report in my own records for three years as required by Administrative Rule 18 NCAC 12 .1301; OR
- ☐ I choose to deliver the paper original inked, signed, and notarized lobbying expense report to the Lobbying Compliance Division of the Secretary of State within seven days as required by Administrative Rule 18 NCAC 12 .0214.

IMPORTANT INSTRUCTIONS FOR PRINCIPAL OFFICER AND NOTARY

ALL BLANKS MUST BE COMPLETED WITH THE PRINTED NAME OF THE PRINCIPAL ENTITY. THE AUTHORIZED OFFICER MUST SIGN AND DATE THE REPORT TO CERTIFY THE REPORT IN THIS SECTION. FOR QUARTERLY REPORTS UNDER OATH, VENUE (STATE AND COUNTY WHERE NOTARIZED) AND JURAT MUST BE COMPLETED. THE SIGNATORY OFFICER MUST BE ONE OF THE PERSONS OF RECORD WITH THE LOBBYING COMPLIANCE DIVISION FOR REPORTING PURPOSES IN THE CURRENT YEAR OF THE REPORT. WARNING: INCOMPLETE CERTIFICATION OR NOTARIZATION MAY RESULT IN REJECTION OF THE REPORT.

STATE OF _____ **(Must be filled in)**

COUNTY OF _____ **(Must be filled in)**

The undersigned as an authorized officer of the above named principal entity on behalf of the principal entity by its authority first duly given, or on his/her own behalf as an individual principal, being first duly sworn, hereby certifies that the principal has no expenditures pursuant to G.S. § 120C-403 other than payment for services to report for this quarterly period and that all information contained herein (including any attachments hereto) is true, complete and correct to the best of his/her knowledge and belief.

Signature of Authorized Officer _____ **Printed name of Authorized Officer** _____ **Date** _____

Sworn to (or affirmed) and subscribed before me,

This _____ day of _____, 20____.

Signature of Notary Public

Printed Name of Notary Public

(NOTARY STAMP OR SEAL)

My commission expires: _____.

I signed this notarial certificate on _____ according to the emergency video notarization requirements contained in G.S. 10B-25.

Notary Public location during video notarization: _____ County

Stated physical location of principal during video notarization: _____ County

Printed Full Name of Report Preparer: _____

Signature of Report Preparer: _____

DO NOT COMPLETE UNLESS REPORT PREPARER IS A PERSON OTHER THAN THE AUTHORIZED OFFICER SIGNING AND CERTIFYING THE REPORT WHO HAS EXERCISED INDEPENDENT JUDGMENT OR DISCRETION AS TO THE INFORMATION REPORTED. THE AUTHORIZED OFFICER MUST SIGN THE OATH ABOVE. SIGNATURE IN THE PREPARER SECTION BY THE AUTHORIZED OFFICER IS UNNECESSARY AND DOES NOT CONSTITUTE PROPER NOTARIZATION UNDER OATH.

FOR INFORMATIONAL USE ONLY; DISCARD BEFORE FILING.

- Expense reports are due quarterly, regardless of whether reportable expenditures are made, no later than 15 business days after the end of the calendar quarter.
- Do not use a zero expense report form for a monthly report or for a quarterly report that requires incorporation of a prior monthly report. Incomplete reports may be rejected.
 - In addition, any reportable expenditure incurred while the General Assembly is in session with respect to lobbying legislators and legislative employees is reportable monthly no later than 10 business days after the end of the month.
 - The information reported on any monthly report should be incorporated by reference on the long quarterly report form in the space provided.
- NCGS § 120C-401(d) states: Each report required by this Article shall be in the form prescribed by the Secretary of State and filed electronically.
- You may submit the completed (notarized) reports that have been electronically filed at <https://www.sosnc.gov/>
- but do not have an electronic notarization to the Department by the following method:
 - (1) By United States mail addressed to the Lobbying Compliance Division, NC Secretary of State, Post Office Box 29622, Raleigh, North Carolina 27626-0622, postmarked within seven calendar days of the electronic filing,
- If you have an electronic notarization, submit completed reports electronically with electronic notarization transmitted to the Department by 11:59 PM of the filing deadline.
- Any document attached to the filing other than the Department's form, must be compatible with, or convertible to Microsoft Word.
- Please choose which kind of record keeping method you are utilizing for this report.