	nent of the Secretary											
	-April 2, 2024 - June											
se Submitted to	o APO - Filled in by RI Rule Section	RC staff Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
	SECTION .0100 – GENERAL	18 NCAC 12 .0101	SCOPE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0102	CALCULATION OF TIME PERIODS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0103	CALCULATION OF QUARTERLY REPORTING PERIOD	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0104	REPORTING PERIOD	Y Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0105	WAIVER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0106	FACTORS FOR WAIVERS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .0200 – FORMS COMPLETION	18 NCAC 12 .0201	MANDATORY USE OF DEPARTMENTAL FORMS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0202	FILING MAY BE ELECTRONIC OR PAPER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0203	DOCUMENT COMPLETION REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0204	ITEMS WHICH ARE NOT APPLICABLE TO THE PERSON OR ENTITY COMPLETING A FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0205	COMPLETE NAME OF PERSON OR ENTITY REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0206	DOCUMENT SIGNATURE REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0207	SIGNATURE FOR ENTITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0208	ELECTRONIC SIGNATURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0209	FORM PREPARER SIGNATURE REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0210	WHEN FORM PREPARER SIGNATURE NOT REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0211	SIGNING PURSUANT TO POWER OF ATTORNEY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0212	SIGNING AND EXECUTING A FORM UNDER OATH	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0213	SIGNATURE VERIFIES INFORMATION IS TRUE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One

Agency - Department of the Secretary of State

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Comn	nent	Period	-April 2,	2024 -	June 3,	202

Date Submitted to	APO - Filled in by R	RC staff										
Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
		18 NCAC 12 .0214	SIGNATURE AND EXECUTION UNDER OATH OF AN ELECTRONICALLY FILED DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0215	CONTENTS OF AFFIDAVIT FOR ELECTRONIC FILING WITHOUT ELECTRONIC NOTARIZATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0216	CONSEQUENCE OF FAILURE TO DELIVER AFFIDAVIT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .0300 – SUBMISSION, REVIEW, AMENDMENT, AND CORRECTION OF DOCUMENTS	18 NCAC 12 .0301	GENERAL SUBMISSION LOCATIONS AND METHODS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0302	FILING BY UNITED STATES MAIL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0303	FILING BY HAND-DELIVERY OR BY DESIGNATED DELIVERY SERVICE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0304	FILING BY ELECTRONIC MAI	IL Amended Eff. October 1, 2019	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0305	FILING ELECTRONICALLY ON THE DEPARTMENT'S WEBSITE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0306	NO FILING BY FACSIMILE IF FEE REQUIRED		Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0307	CIRCUMSTANCES IN WHICH FILING BY FAX PERMITTED	Pursuant to G.S. 150B-21.3A, rule	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0308	SUBMISSION OF ORIGINAL AFTER FILING BY FAX	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0309	REJECTION OF INCOMPLETE FORMS	E Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0310	DOCUMENT SUBMISSION DATE AND TIME	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0311	DOCUMENT RECEIVED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0312	PROOF OF SUBMISSION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0313	1	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0314	PRINCIPAL'S AUTHORIZATION STATEMENT OMISSIONS REQUIRING CORRECTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0315	OMISSIONS FROM REPORT UNDER OATH REQUIRING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One

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ubchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B- 21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
		18 NCAC 12 .0316	OMISSIONS REQUIRING CORRECTION WITHIN SEVEN DAYS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0317	EFFECTIVE DATE OF COMPLETE SUBMISSION OF DOCUMENT	Pursuant to G.S. 150B-21.3A, rule	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0318	REJECTED FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0319	EFFECTIVE DATE OF LATE DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0320	PROCESS FOR AMENDING A DOCUMENT		Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0321	NOTARIZATION OF AMENDED QUARTERLY DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0322	EFFECT OF AMENDED DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .0400 – FEES	18 NCAC 12 .0401	GENERAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0402	FORM OF PAYMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0403	RETURN OF FEE INSTRUMENT BY ISSUING INSTITUTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .0500 - ECONOMIC INFORMATION CONFIDENTIALITY PROTECTION	18 NCAC 12 .0501	REQUEST FOR PROTECTION OF CONFIDENTIALITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0502	l .	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0503	CONSEQUENCES OF FAILURE TO REQUEST PROTECTION OF CONFIDENTIAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0504	ONE DESIGNATION FORM PER ACTIVITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0505	ECONOMIC INFORMATION PROTECTION FORMS SHALL BE USED TO REQUEST ECONOMIC INFORMATION	is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0506	PRINCIPAL'S ECONOMIC INFORMATION PROTECTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0507	PRINCIPAL'S ECONOMIC INFORMATION PROTECTION REGISTRATION FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0508		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One

Agency - Department of the Secretary of State

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Subchapter Rule S	Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	to Federal Regulation [150B- 21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
	18	8 NCAC 12 .0509		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0510	PRINCIPAL'S AUTHORIZATION FOR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0511	LOBBYIST REGISTRATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0512	PRINCIPAL'S DOCUMENTS UNRELATED TO ECONOMIC	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0513	LOBBYIST'S DOCUMENTS UNRELATED TO ECONOMIC	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0514	REJECTION OF NON- ECONOMIC DESIGNATION INFORMATION - GENERAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0515	CORRECTION WITHIN SEVEN BUSINESS DAYS OF ECONOMIC INFORMATION PROTECTION FILING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0516		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0517	REJECTION OF UNCORRECTED DOCUMENT CONTAINING INFORMATION NOT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0518		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0519	DESCRIPTION OF THE ECONOMIC DEVELOPMENT ACTIVITY ENTITLED TO PROTECTION FROM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0520	IDENTIFICATION OF AUTHORIZED INDIVIDUAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0521	DESIGNATION OF AUTHORIZED STATE OR LOCAL GOVERNMENT EMPLOYEE, OFFICIAL, OR PUBLIC SERVANT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0522		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0523	VERIFICATION BY GOVERNMENT OFFICIAL SIGNATURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0524	VERIFICATION BY AUTHORIZED OFFICIAL SIGNATURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		8 NCAC 12 .0525	DEPARTMENT ACTION UPON RECEIPT OF RELEASE OR NOTIFICATION	public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0526	DEPARTMENT ACTION UPON RECEIPT OF INFORMATION INDICATING PREVIOUSLY CONFIDENTIAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	18	8 NCAC 12 .0527	PRINCIPAL AND AUTHORIZED GOVERNMENT OFFICIAL RESPONSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One

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		18 NCAC 12 .0528									
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March Marc			CONTACT RESULTS IN	public interest Eff. September 23,	Necessary	No	Select One	Select One	Select One	Select One	Select One
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18 NCAC 12 .0543 AUTHORIZED GOVERNMENT OFFICIAL CARE EXECUTION 2017 No Select One Select					Necessary	No	Select One	Select One	Select One	Select One	Select One
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18 NCAC 12 .0602 REPORTING OF CHANGES IN MATTERS ON WHICH THE Is necessary without substantive REGISTRANT EXPECTS TO Public interest Eff. September 23, Necessary No Select One Select One				2017							
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Agency - Department of the Secretary of State

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apter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B- 21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
		18 NCAC 12 .0603	END OF LOBBYIST AND PRINCIPAL RELATIONSHIP	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0604	SUBSEQUENT REGISTRATION IN SAME YEAR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0605	RESIGNATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0606	ENDING OF LOBBYIST- PRINCIPAL RELATIONSHIP DOES NOT END REPORTING OBLIGATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
[L	SECTION .0700 - DISCLOSURE OF LOBBYIST AND PRINCIPAL IDENTITY	18 NCAC 12 .0701	LOBBYIST DISCLOSURE OF IDENTITY OF PRINCIPAL TO DEPARTMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0703	CONSEQUENCES OF FAILURE TO DISCLOSE THE PRINCIPAL IN FACT ON THE REGISTRATION FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0705	LOBBYIST IDENTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0706	DISCLOSURE TO A DESIGNATED INDIVIDUAL OF THE IDENTITY OF THE PRINCIPAL BY THE LOBBYIS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0707	DISCLOSURE TO A DESIGNATED INDIVIDUAL OF THE IDENTITY OF THE PRINCIPAL BY A LOBBYIST WHO REPRESENTS MORE THAN ONE PRINCIPAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0708	OF THE IDENTITY OF THE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
L	SECTION .0800 - LOBBYIST REPORTING	18 NCAC 12 .0801	QUARTERLY REPORT MAY INCLUDE LAST MONTH OF QUARTER REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	Select One		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0802	1	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0803	QUARTERLY REPORT VERIFICATION OF MONTHL REPORT INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0805		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
F	SECTION .0900 - REPORTING BY PRINCIPAL	18 NCAC 12 .0901	METHOD OF REPORTING COMPENSATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0902	COMPREHENSIVE REPORTING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0903	SEPARATE LOBBYIST COMPENSATION REPORTS MAY BE INCLUDED ON SAME FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One

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Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
		18 NCAC 12 .0905	QUARTERLY REPORT MAY INCLUDE LAST MONTH OF QUARTER REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0906	INCORPORATE SEPARATELY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0907	QUARTERLY REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .0910	1	2017 5 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .1000 – SOLICITORS	18 NCAC 12 .1001	SOLICITOR REGISTRATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1002	WHEN REGISTRATION WITH THE DEPARTMENT IS REQUIRED	H Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1003	CALCULATION OF PRODUCTION COSTS IN ORDER TO DETERMINE REGISTRATION AND REPORTING APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1004	CALCULATION OF TRANSMISSION COSTS IN ORDER TO DETERMINE REGISTRATION AND REPORTING APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1005	CALCULATION OF EVENT COSTS IN ORDER TO DETERMINE REGISTRATION AND REPORTING APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1006	REPORTING FOR REMAINDER OF YEAR OF REGISTRATION AS SOLICITOR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1007	REPORT CONTENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1008	CONTRACTS REPORTABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .1200 – CONFIDENTIALITY AND RECORDS	18 NCAC 12 .1201	REPORTING OF CONFIDENTIAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1202	GENERAL REQUIREMENTS RELATING TO PROTECTIVE ORDER PAYEES AND ADDRESS CONFIDENTIALITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1203		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1204		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .1300 – PRESERVATION OF RECORDS	18 NCAC 12 .1301	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1302	RECORD RETENTION REQUIREMENT WHEN INVESTIGATION IS OPEN	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One

		RRC staff				Required to Implement or Conform					RRC Final Determination of Status	
Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	to Federal Regulation [150B- 21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
		18 NCAC 12 .1303	THREE YEAR TIME PERIOD	Pursuant to G.S. 150B-21.3A, rule								
			FOR MAINTENANCE OF	is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
			RECORDS RELATED TO	public interest Eff. September 23,	Necessary	NO		Select Offe	Select Offe	Select Offe	Select Offe	Select Offe
			INVESTIGATIONS	2017								
		18 NCAC 12 .1304	REQUIREMENT FOR	Pursuant to G.S. 150B-21.3A, rule								
			ORIGINAL OR EQUIVALENT	is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
				public interest Eff. September 23,								
		18 NCAC 12 .1305	LOBBYIST'S COMPENSATIO	N Pursuant to G.S. 150B-21.3A, rule								
			RECORDS	is necessary without substantive	Nagagan	No		Salant On a	Calast One	Salast One	Salast One	Calast On a
				public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
				2017								
		18 NCAC 12 .1306	PRINCIPAL'S RECORDS OF	Pursuant to G.S. 150B-21.3A, rule								
			LOBBYIST'S COMPENSATION	N is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
				public interest Eff. September 23, 2017								
		18 NCAC 12 .1307	MAINTENANCE OF	Pursuant to G.S. 150B-21.3A, rule								
		10 NGAC 12 .1307	PRINCIPAL'S RECORDS	is necessary without substantive								
			RELATED TO METHOD OF	public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
			EXPENDITURE	2017								
		18 NCAC 12 .1308	LOBBYIST'S MAINTENANCE									
			OF RECORDS RELATED TO	is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
			METHOD OF EXPENDITURE	1.	Necessary	NO		Select Offe	Select Offe	Select Offe	Select Offe	Select Offe
			CALCULATION	2017								
		18 NCAC 12 .1309		Pursuant to G.S. 150B-21.3A, rule								
			1	is necessary without substantive	Unnecessary	No		Select One	Select One	Select One	Select One	Select One
			l .	public interest Eff. September 23,	,							
		18 NCAC 12 .1310	CALCULATION DRINGIDAL'S MAINTENANCE	2017 E Pursuant to G.S. 150B-21.3A, rule								
		16 NCAC 12 .1510	OF RECORDS REgarding	is necessary without substantive								
			ALLOCATION OF LOBBYING		Necessary	No		Select One	Select One	Select One	Select One	Select One
			AND NON-LOBBYING	2017								
		18 NCAC 12 .1311	LOBBYIST MAINTENANCE	Pursuant to G.S. 150B-21.3A, rule								
			OF RECORDS REGARDING	is necessary without substantive								
			ALLOCATION OF LOBBYING	1.	Necessary	No		Select One	Select One	Select One	Select One	Select One
			AND NON-LOBBYING	2017								
		18 NCAC 12 .1312	COMPENSATION LIAISON'S RECORDS	Pursuant to G.S. 150B-21.3A, rule								
		16 NCAC 12 .1512	LIAISON 3 RECORDS	is necessary without substantive								
				public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
				2017								
		18 NCAC 12 .1313	RECORDS OF FILERS	Pursuant to G.S. 150B-21.3A, rule								
			PURSUANT TO G.S. 120C-	is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
			800(a) OR G.S. 120C-800(c)	11	recessary			Science Sinc	Scient one	Scient one	Scient one	Sciect Offic
		10 NCAC 12 1214	RECORD RETENTION BY	2017 Pursuant to G.S. 150B-21.3A, rule								
		18 NCAC 12 .1314	FILERS PURSUANT TO G.S.	is necessary without substantive								
			1	public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
			REQUIREMENT WHEN AN	. 1.								
		18 NCAC 12 .1315	THREE YEAR TIME PERIOD									
			FOR MAINTENANCE OF	is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
			RECORDS RELATED TO	public interest Eff. September 23,	. Tedessar y			Scient one		Science office		Jeiese Offe
		10 NCAC 12, 121C	INVESTIGATIONS FOR	2017								
		18 NCAC 12 .1316	REQUIREMENT FOR ORIGINAL OR EQUIVALENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive								
			FOR PERSONS WHO ARE	public interest Eff. September 23,								
			REQUIRED OR PERMITTED	1.	Nagaza	N ₂		Calant On a	Calast One	Calast Oas	Salast One	Calaat Oaa
			AND DID FILE PURSUANT TO	I .	Necessary	No		Select One	Select One	Select One	Select One	Select One
			G.S. 120C-800(a) OR G.S.									
			120C-800(c)									
		18 NCAC 12 .1317	RECORDS OF PERSONS	Pursuant to G.S. 150B-21.3A, rule								
		10 NCMC 12 .131/	PERMITTED TO FILE	is necessary without substantive								
			PURSUANT TO G.S. 120C-	public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
			800(b) OR G.S. 120C-800(d)	1.								
		18 NCAC 12 .1318	RECORD RETENTION	Pursuant to G.S. 150B-21.3A, rule								
			REQUIREMENT OF FILERS	is necessary without substantive								
			PURSUANT TO G.S. 120C-	public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
			800(b) OR 120C-800(d)	2017	, , , , ,			30.000		23.000 00		55.550 5116
			WHEN AN INVESTIGATION									
		10 NCAC 12, 1210	IS OPEN	Dursuant to C.S. 4500 24 24 mile								
		18 NCAC 12 .1319	FOR MAINTENANCE OF	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive								
			RECORDS RELATED TO	public interest Eff. September 23,	Necessary	No		Select One	Select One	Select One	Select One	Select One
			INVESTIGATIONS FOR	2017								

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B- 21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
		18 NCAC 12 .1320		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .1400 – DEPARTMENT PROVISION OF LIST TO DESIGNATED	18 NCAC 12 .1401	FURNISHING LOBBYIST LIST TO DESIGNATED INDIVIDUALS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 12 .1403	REJECTION OF ELECTRONICALLY FURNISHED LIST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		Select One	Select One	Select One	Select One	Select One