G.S. 150B-21.3A Report for 18 NCAC 05, Uniform Commercial Code Division Agency - Department of the Secretary of State Comment Period - April 2, 2024 - June 3, 2024												
e Submitted to	APO - Filled in by F	RRC staff							1			
Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B- 21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
CHAPTER 05B – FORM /IMERCIAL CODE	GENERAL	18 NCAC 05B .0102	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12,	Necessary	No		Select One	Select One	Select One	Select One	Select One
CTION				2014								
		18 NCAC 05B .0103	PLACE OF FILING, TIME OF FILING, AND METHODS OF DELIVERY	Amended Eff. February 1, 2021	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0104	CONTENTS OF RECORDS SUBMITTED FOR FILING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12,	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0105	APPROVED FORMS	2014 Amended Eff. October 1, 2019	NI	N -		Calant Or a				Calast Ora
		18 NCAC 05B .0105	PROCESSING FEES AND	Pursuant to G.S. 150B-21.3A, rule	Necessary	No		Select One	Select One	Select One	Select One	Select One
			METHODS OF PAYMENT	is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0107	PUBLIC RECORDS SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive								
				public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0108	FEES FOR PUBLIC RECORDS SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12,	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .0200 -	18 NCAC 05B .0201	ROLE OF FILING OFFICER	2014 Pursuant to G.S. 150B-21.3A, rule								
	ACCEPTANCE AND REFUSAL OF RECORDS			is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
	RECORDS	18 NCAC 05B .0202		Pursuant to G.S. 150B-21.3A, rule								
			UCC DOCUMENT	is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0203	PROCEDURE UPON REFUSAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12,	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0204	NOTIFICATION OF DEFECTS	2014 Pursuant to G.S. 150B-21.3A, rule								
				is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0205	REFUSAL ERRORS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive								
				public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0206	FILING OFFICER ERRORS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
				public interest Eff. November 12, 2014	·							
		18 NCAC 05B .0207	NOTICE OF BANKRUPTCY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12,	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
	SECTION .0300 - UC	CC 18 NCAC 05B .0301	POLICY STATEMENT	2014 Pursuant to G.S. 150B-21.3A, rule								
	INFORMATION MANAGEMENT			is necessary without substantive public interest Eff. November 12,	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SYSTEM	18 NCAC 05B .0302	PRIMARY DATA ELEMENTS	2014 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
				public interest Eff. November 12, 2014	ivecessal y							
		18 NCAC 05B .0303	INITIAL FINANCING STATEMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0304	AMENDMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0305	ASSIGNMENT OF POWERS	public interest Eff. November 12, 2014 Pursuant to G.S. 150B-21.3A, rule	•							-
		10 INCAC USB .0305	OF SECURED PARTY OF RECORD	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12,	Necessary	No		Select One	Select One	Select One	Select One	Select One

-	ent of the Secretary											
	April 2, 2024 - June APO - Filled in by RF											
chapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B- 21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B- 21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B- 21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)	RRC Final Determination of Status of Rule for Report to APO [150B- 21.3A(c)(2)]	OAH Next Steps
		18 NCAC 05B .0306		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0307		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0308		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0309		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0310		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0311		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0312		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
	SECTION .0400 – FILING AND DATA ENTRY PROCEDURES	18 NCAC 05B .0401	POLICY STATEMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0403		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0404		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0405	FILING DATES AND LAPSE CALCULATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0406	FILING ERRORS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0407		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0408	VERIFICATION OF DATA ENTRY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0409		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0410	ARCHIVAL DOCUMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .0500 – SEARCH REQUESTS AND REPORTS	18 NCAC 05B .0501	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0502	REPORTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One

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		18 NCAC 05B .0503	RULES APPLIED TO SEARCH REQUESTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0504	OPTIONAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0505	SEARCH RESPONSES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	No		Select One	Select One	Select One	Select One	Select One
	SECTION .0600 – NOTICE OF FEDERAL TAX LIEN	18 NCAC 05B .0601	POLICY STATEMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0602	FILING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One
		18 NCAC 05B .0603	REQUESTS FOR INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. November 12, 2014	Necessary	Νο		Select One	Select One	Select One	Select One	Select One