

NORTH CAROLINA DEPARTMENT OF THE SECRETARY OF STATE

CHARITABLE SOLICITATION LICENSING DIVISION

131F-3(3) Exemption Request Form

1. Charitable/Sponsor organization's legal name: _____
2. Charitable/Sponsor organization's principal mailing address: _____
3. Charitable/Sponsor organization's principal telephone: _____
4. Charitable/Sponsor organization's e-mail address: _____
5. Name(s) under which you intend to solicit contributions (if different from legal name):

6. Purpose for which applying entity was established: _____

7. Charitable/Sponsor organization's fiscal year end date (month/day): _____
8. Does applying entity compensate (in any capacity):
 - 8a. An Officer? _____ YES _____ NO
 - 8b. A Trustee/Board Member? _____ YES _____ NO
 - 8c. An Organizer or Incorporator? _____ YES _____ NO
 - 8d. A Salaried Employee that occupies a seat on the board? _____ YES _____ NO
9. Does applying entity currently contract for compensation or plan to contract for compensation during the current registration year with a Fund-Raising Consultant or Solicitor? _____ YES _____ NO

If applying entity answered **YES** to **ANY** part of the above questions 8 or 9, applicant does not qualify for an exemption to the North Carolina charitable solicitation licensing requirements under N.C. Gen. Stat. § 131F-3(3). Applicant must either apply for a license or a separate exemption. License application forms and a copy of the laws governing charitable solicitation are available on this Department's website at www.sosnc.com.

If applying entity answered **NO** to **ALL** above questions 8 or 9, proceed to the next question.

Telephone: 919-807-2214 – Toll Free for NC Residents: 1-888-830-4989 – Facsimile: 919-807-2220

Website Address: www.sosnc.com – Electronic Mail: csl@sosnc.com

Mailing Address: P O Box 29622, Raleigh, NC 27626-0622

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10. Does applying entity have any financial history?

_____ YES

If applying entity has a financial history and received less than \$25,000 in charitable contributions (as defined in N. C. Gen. Stat. § 131F-2(5)) in the immediate preceding fiscal year, file **ONE** of the following financial Forms with appropriate signatures:

- a. IRS Form 990 or 990 EZ signed by an officer of the organization.
- b. An Audited Financial Statement.
- c. The State Annual Financial Report Form (available for downloading at www.sosnc.com).

_____ NO Attach a budget for the current year containing projected revenues and projected expenses.

If applying entity received \$25,000 or more in charitable contributions (as defined in N.C. Gen. Stat. § 131F-2(5)) in the immediate preceding fiscal year, applicant will **NOT** qualify for an exemption to the North Carolina charitable solicitation licensing requirements under N.C. Gen. Stat. § 131F-3(3). Applicant must either apply for a license or a separate exemption. License application forms and a copy of the laws governing charitable solicitation are available on this Department's website at www.sosnc.com.

NOTE: 131F-2(5) Contribution is defined as including a promise, pledge, grant of any money or property, financial assistance, or any other thing of value in response to a solicitation.

11. Has applying entity received a Federal Tax Exemption Determination Letter?

Attach a copy of the Federal Tax Exemption Determination Letter.

(This is not required; however, if not received the organization will be listed as a "Non-Tax Exempt Entity" as described in Section .0305(d), Chapter 11 of Title 18 of the North

_____ YES Carolina Administrative Code)

_____ NO

NOTE: This registration must be updated on an annual basis.

(Signature of an Officer of the applying organization)

(Print Name)

(Completion Date)

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