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| **1. Application Type:**  **Initial**  **Renewal** | |
| **2. Applicant’s Full Business Legal Name:** | | | | | **3. Applicant’s Principal Telephone Number:** | |
| 4. Applicant’s Principal Street Address:  **City: State: Zip Code:** | | | | | | |
| 5. Applicant’s Mailing Address: **City: State: Zip Code:** | | | | | | |
| **6. Applicant’s Internet Site Address:** | | | **7. Applicant’s Contact Person Email Address:** | | | |
| **8. Legal Form of Applicant’s Business:**  **Sole Proprietor/Individual  Corporation  General Partnership**  **Limited Liability Corporation  Limited Liability Partnership  Other \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | | | | | | |
| **9. Applicant’s State of Establishment:** | | | **10. Applicant’s Date of Establishment:** | | | |
| **11. For non-NC corporations:** Provide either of the following to verify the applicant’s current legal existence:  1. Certificate of Existence or Certificate of Good Standing from state of incorporation dated no more than six months prior to  date of signing of application, or  2. Actual webpage screenshot found on a publicly accessible regulatory authority website dated no more than thirty (30) days prior to  the date the license application was signed that includes the following elements:   * Exact name of the entity as it appears on the license application; and * Language clearly verifying its status as a corporation in good standing in the state of incorporation (i.e. “current” or “active”); and * Date the information was printed on the face of the document.   **For un-incorporated NC applicants:** Provide a copy of your assumed name certificate filed with the register of deeds, showing the register of deeds’ stamp. | | | | | | |
| **12. If Applicant’s principal place of business is located outside North Carolina, ATTACH list of street addresses of any applicant offices located in North Carolina. ATTACHMENT 12 included?**  **Yes**  **No NC office** | | | | | | |
| **13. Are ANY of applicant’s’ owners, directors, officers, or employees RELATED as parent, spouse, child, or sibling to ANY of applicant’s other directors, officers, owners, or employees?**  **Yes**  **No**  **If answer is YES, attach a brief written explanation. ATTACHMENT 13 included?  Yes** | | | | | | |
| 14. Are ANY of applicant’s’ owners, directors, officers, or employees RELATED as parent, spouse, child, or sibling to ANY officer, director, trustee, or employee of any charitable organization or sponsor under contract with applicant?  Yes  No  **If answer is YES, attach a brief written explanation. ATTACHMENT 14 included?  Yes** | | | | | | |
| **15. Are ANY of applicant’s’ owners, directors, officers, or employees RELATED as parent, spouse, child, or sibling to ANY supplier or vendor**  **providing goods or services to any charitable organization or sponsor under contract with the applicant?  Yes**  **No**  **If answer is YES, attach a brief written explanation. ATTACHMENT 15 included?  Yes** | | | | | | |
| **16. Within the last five (5) years, has the applicant, or any of the applicant’s directors, officers, employees, agents, or persons with a controlling interest in the applicant been convicted of ANY felony?**  **Yes**  **No**  **If answer is YES, attach a brief written explanation**. **ATTACHMENT 16 included?  Yes** | | | | | | |
| **17. Within the last five (5) years, has the applicant, or any of the applicant’s directors, officers, employees, agents, or persons with a controlling interest in the applicant been convicted of ANY misdemeanor arising from the conduct of a solicitation for ANY charitable organization or sponsor OR charitable or sponsor purpose?**  **Yes**  **No**  **If answer is YES, attach a brief written explanation. ATTACHMENT 17 included?  Yes** | | | | | | |
| **18. Within the last five (5) years, has the applicant, or any of the applicant’s directors, officers, employees, agents, or persons with a controlling interest in the applicant been enjoined from violating ANY charitable solicitation law in this or ANY other state?**  **Yes**  **No**  **If answer is YES, attach a brief written explanation. ATTACHMENT 18 included?  Yes** | | | | | | |
| 19. ATTACH a list of the NAMES and PHYSICAL RESIDENCE ADDRESSES of ALL of applicant’s directors, officers, and owners. This section must be completed for sole proprietorships, partnerships, and corporations of all types.  ATTACHMENT 19 included?  Yes | | | | | | |
| 20. ATTACH the required fee of two hundred dollars ($200.00) (make check payable to: NC Department of the Secretary of State).  ATTACHMENT 20 (FEE) included?  Yes | | | | | | |
| 21. Does applicant intend to cover multiple individuals with single license?  Yes  No  If YES, ATTACH list containing names and street addresses for ALL officers, employees, and agents of the applicant, as well as all other individuals contracted to work under applicant’s direction. ATTACHMENT 21 included?:  Yes | | | | | | |
| 22. Applicant’s signature:  I do hereby swear or affirm that the information furnished in this application and all supplemental forms, reports, documents, and attachments are true and correct to the best of my knowledge under penalty of perjury.  I do further swear or affirm that this applicant meets the requirements of G.S. §131F-2(10) for acquiring and maintaining a North Carolina fund-raising consultant license in that this applicant:   * 1. is retained by a charitable organization or sponsor for a fixed fee or rate under a written agreement to plan, manage, conduct, consult, or prepare material for the solicitation of contributions in the State of North Carolina; and   2. **does not solicit contributions or employ, procure, or engage any person to solicit contributions; and**   3. **does not at any time have custody or control of contributions.**   Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | |
|  | Signer’s Name (Print): | | | | | |
|  | Signer’s Title (Print): | | | | | |
| 23. Notarization: The following is for a notary public to place you under oath and then notarize YOUR signature:  (County)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(State)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  County and State in which oath or affirmation taken | | | | | | |
| Notary Stamp or Seal goes Here ↓ | | | | Sworn to and subscribed before me this the (e.g., 1st): | | |
|  | | | | Day of (e.g., May): | | **In the year of (e.g., 2013):** |
|  | | | | Notary Public’s Signature: | | |
|  | | | | Notary Public’s Name (Print): | | |
|  | | | | Date Notary Public’s Commission Expires: | | |
| OPTIONAL APPLICANT/THIRD PARTY CONTACT INFORMATION | | | | | | |
| **Contact Person Name:** | | | **Contact Person Title:** | | | |
| **Contact Person Business/Firm Name:** | | | **Contact Person’s Electronic Mail Address:** | | | |
| **Contact Person’s Telephone Number:** | | | **Contact Person’s Facsimile Number:** | | | |